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Page 1 of 2

| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/802,571 | 03/17/2004 | Charles P. Mason | TSEN.P005 |

Shemwell Gregory & Courtney LLP
Suite 201
4880 Stevens Creek Boulevard
San Jose, CA 95129

CONFIRMATION NO. 3489

FORMALITIES LETTER



OC000000012831891

Date Mailed: 06/01/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$65** for a Small Entity

- **\$65** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

B.H.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 160.00

Complete if Known

Application Number 10/802,571
Filing Date March 17, 2004
First Named Inventor Charles Mason
Examiner Name
Art Unit 2635
Attorney Docket No. TSEN.P005

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 501914
Deposit Account Name

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| 1001 770 | 2001 385 | Utility filing fee | |
| 1002 340 | 2002 170 | Design filing fee | |
| 1003 530 | 2003 265 | Plant filing fee | |
| 1004 770 | 2004 385 | Reissue filing fee | |
| 1005 160 | 2005 80 | Provisional filing fee | |

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| -20** = | | X | |
| Independent Claims | -3** = | X | |
| Multiple Dependent | | | |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|----------------------------|----------------------------|--|
| 1202 18 | 2202 9 | Claims in excess of 20 |
| 1201 86 | 2201 43 | Independent claims in excess of 3 |
| 1203 290 | 2203 145 | Multiple dependent claim, if not paid |
| 1204 86 | 2204 43 | ** Reissue independent claims over original patent |
| 1205 18 | 2205 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid |
|---------------|---------------|---------------|--|----------|
| 1051 130 | 2051 65 | | Surcharge - late filing fee or oath | 65.00 |
| 1052 50 | 2052 25 | | Surcharge - late provisional filing fee or cover sheet | |
| 1053 130 | 1053 130 | | Non-English specification | |
| 1812 2,520 | 1812 2,520 | | For filing a request for <i>ex parte</i> reexamination | |
| 1804 920* | 1804 920* | | Requesting publication of SIR prior to Examiner action | |
| 1805 1,840* | 1805 1,840* | | Requesting publication of SIR after Examiner action | 55.00 |
| 1251 110 | 2251 55 | | Extension for reply within first month | |
| 1252 420 | 2252 210 | | Extension for reply within second month | |
| 1253 950 | 2253 475 | | Extension for reply within third month | |
| 1254 1,480 | 2254 740 | | Extension for reply within fourth month | |
| 1255 2,010 | 2255 1,005 | | Extension for reply within fifth month | |
| 1401 330 | 2401 165 | | Notice of Appeal | |
| 1402 330 | 2402 165 | | Filing a brief in support of an appeal | |
| 1403 290 | 2403 145 | | Request for oral hearing | |
| 1451 1,510 | 1451 1,510 | | Petition to institute a public use proceeding | |
| 1452 110 | 2452 55 | | Petition to revive - unavoidable | |
| 1453 1,330 | 2453 665 | | Petition to revive - unintentional | |
| 1501 1,330 | 2501 665 | | Utility issue fee (or reissue) | |
| 1502 480 | 2502 240 | | Design issue fee | |
| 1503 640 | 2503 320 | | Plant issue fee | |
| 1460 130 | 1460 130 | | Petitions to the Commissioner | |
| 1807 50 | 1807 50 | | Processing fee under 37 CFR 1.17(q) | |
| 1806 180 | 1806 180 | | Submission of Information Disclosure Stmt | |
| 8021 40 | 8021 40 | | Recording each patent assignment per property (times number of properties) | 40.00 |
| 1809 770 | 2809 385 | | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 770 | 2810 385 | | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 770 | 2801 385 | | Request for Continued Examination (RCE) | |
| 1802 900 | 1802 900 | | Request for expedited examination of a design application | |

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 160.00

SUBMITTED BY

(Complete if applicable)

Name (Print/Type) Richard L. Gregory, Jr. Registration No. 42,607 Telephone 408-236-6646
Signature [Signature] (Attorney/Agent) Date August 31, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



EXPRESS MAIL CERTIFICATE OF MAILING

Express Mail" mailing label number: EV 503 780 043 US

Date of Deposit: August 31, 2004

I hereby certify that I am causing the paper(s) and/or fee(s) indicated below to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that the paper(s) and/or fee(s) have been addressed to the Mail Stop Missing Parts, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

Richard L. Gregory, Jr.

(Typed or printed name of person mailing paper(s) or fee(s))

R. Gregory
(Signature of person mailing paper or fee)

8-31-2004

(Date signed)

Serial/Patent No.: 10/802,571

Filing/Issue Date: March 17, 2004

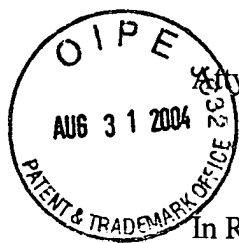
Title: Emergency Response Personnel Automated Accountability System

Atty. Docket No.: TSEN.P005

Date Mailed: August 31, 2004

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- | | |
|--|---|
| <input type="checkbox"/> Amendment/Response (pgs.) | <input checked="" type="checkbox"/> Petition for Extension of Time (One (1) month(s)) |
| <input type="checkbox"/> Preliminary Amendment (pgs.) | <input type="checkbox"/> Information Disclosure Statement & PTO/SB/08A |
| <input type="checkbox"/> Application - Utility (pgs.) | <input type="checkbox"/> Issue Fee Transmittal |
| <input type="checkbox"/> Application - Rule 1.53(b) Contin. (pgs.) | <input type="checkbox"/> Submission of Formal Drawings |
| <input type="checkbox"/> Application - Rule 1.53(b) Divis. (pgs.) | <input type="checkbox"/> Notice of Appeal |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP (pgs.) | <input type="checkbox"/> Appeal Brief (pgs. in triplicate) |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA (pgs.) | <input type="checkbox"/> Reply Brief |
| <input type="checkbox"/> Application - PCT (pgs.) | <input checked="" type="checkbox"/> Response to Notice of Missing Parts |
| <input type="checkbox"/> Application - Provisional (pgs.) | <input type="checkbox"/> Utility Patent Application Transmittal |
| <input type="checkbox"/> Drawings (sheets) | <input checked="" type="checkbox"/> Fee Transmittal (in duplicate) |
| <input checked="" type="checkbox"/> Declaration (4 pgs.) | <input checked="" type="checkbox"/> Itemized Postcard |
| <input checked="" type="checkbox"/> Assignment & Cover Sheet (4 pgs.) | <input checked="" type="checkbox"/> Express Mail Certificate Of Mailing |
| <input checked="" type="checkbox"/> Power of Attorney (2 pgs.) | <input checked="" type="checkbox"/> Express Mail No. <u>EV 503 780 043 US</u> |
| <input type="checkbox"/> Nonpublication Request (35 USC 122(b)) | <input checked="" type="checkbox"/> Check No. <u>3695</u> Amt <u>\$160.00</u> |
| <input checked="" type="checkbox"/> Other <u>Notice To File Missing Parts of Nonprovisional Application.</u> | |



~~A~~fty. Docket No. TSEN.P005

PATENT

IN THE UNITED STATES PATENT OFFICE

In Re Patent Application of:

Charles Mason, et al.

Application No. 10/802,571

Filed: March 17, 2004

For: EMERGENCY RESPONSE PERSONNEL
AUTOMATED ACCOUNTABILITY SYSTEM

) Examiner: Not Yet Assigned
)
) Art Unit: 2635

**Mail Stop Missing Parts
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450**

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

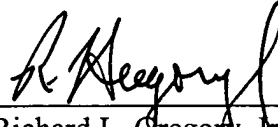
In response to a Notice to File Missing Parts mailed June 1, 2004 in connection with the above-referenced application, applicant encloses the following:

- (1) one (1) Declaration pursuant to 37 CFR 1.63;
- (2) Power of Attorney by Assignee and Certification Under 37 CFR 3.73(b);
- (3) Assignment and Recordation Form Cover Sheet;
- (4) Petition for Extension of Time (one month) under 37 CFR 1.136(a);
- (5) a check in the amount of \$160.00 including:
 - (a) Oath or Declaration Surcharge of \$65.00 under 37 CFR 1.16(e);
 - (b) Assignment recordation fee of \$40.00; and
 - (c) Fee for Petition for Extension of Time of \$55.00;
- (6) a copy of the Notice to File Missing Parts.

Please charge Deposit Account No. 501914 for any fee deficiency that may be due. A duplicate of this Response is enclosed for Deposit Account charging purposes.

Respectfully Submitted,

Date 8-31-2004



Richard L. Gregory, Jr.
Reg. No. 42,607